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FORM PTO-1449 (REV. 6-89)		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		Attorney's Docket No. 5169 US	Serial No. 09/728,373
INFORMATION DISCLOSURE CITATION				Applicant Ting K. Yee and Peter Chang	
(Use several sheets if necessary)				Filing Date November 28, 2000	Group Art Unit 2733

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
DSK	A	4,061,577	12/6/77	Bell	250- 398	499- 76	8/18/76
DSK	B	4,701,904	10/20/87	Darcie	370- 398	3- 72	
DSK	C	4,953,156	8/28/90	Olshansky et al.	370- 398	3- 76	9/8/88
DSK	D	5,351,148	9/27/94	Maeda et al.	359- 398	424- 76	5/26/93
DSK	E	5,387,927	2/7/95	Look et al.	348- 725	6- 114	9/17/93
DSK	F	5,430,568	06/04/95 07	Little et al.	359- 398	424- 81	
DSK	G	5,559,561	9/24/96	Wei	348	470	7/15/94
DSK	H	5,576,874	11/19/96	Czerwiec et al.	359- 398	423- 75	
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DSK	J	5,680,238	10/21/97	Masuda	359- 398	432- 76	11/9/95

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		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
DSK	K	EP 0 717 521 A	06/19/96	EP	—	—		No
DSK	L	EP 0 756 393 A1	29.01.97 1/29	EP	—	—		No
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

M	Business Wire, "Harmonic Lightwaves Announces Availability of First MCNS-Compliant QAM Modulator; TRANsend QAM is a Vital Component for Delivering Digital Services", November 18, 1997
N	Cvijetic, M., "Coherent and Nonlinear Lightwave Communications," Artech House, Boston, MA 1996
DSK	O Dai, H., C. Lin, M. Ramachandran, "Hybrid AM/QAM Video Trunking Lightwave Systems With Cascaded EDFA's", Conf. Proc. LEOs, 97 Annual Meeting, IEEE Lasers & Electro Optic Society, 1997, Vol. 1, pp. 319-320
	P Deuveine, E., M. Ottka, K. Ruthemann, K. Siegel, "Ein 64-QAM-Modem für SDH-Richtfunkgeräte mit integriertem Kreuzpolarisationsentkoppeler", Vol. 40, No. 11, 1 March 1994, pages 89-100

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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<b>Form PTO-1449</b> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DKT. NO. 5957-41701 APPLICANT: Yee, et al. FILING DATE: November 28, 2000	SERIAL NO. 09/728,373 GROUP: 2613		
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### U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DSK		6,904,240	06/07/2005	Suga, et al.			
		6,766,116	07/20/2004	Webb			
		6,735,395	05/11/2004	Bai			
		6,580,535	06/17/2003	Schonfelder			
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		5,305,134	04/19/1994	Tsushima, et al.			
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### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DSK	7A	Kitayama, K. "Highly spectrum efficient OFDM/PDM wireless networks by using optical SSB modulation." Journal of Lightwave Technology. Vol. 16, No. 6. June 1998; 969-976.
	7B	Othonos, A. "Fiber Bragg gratings." Review of Scientific Instruments. Volume 68, Issue 12. December 1997; 4309-4341.
	7C	Ramaswami, R. and K.N. Sivarajan. "Optical Networks: A Practical Perspective." San Francisco: Morgan Kaufman Publishers, Inc., 1998.
↓	7D	Watanabe, S. et al. "Optical coherent broad-band transmission for long-haul and distribution systems using subcarrier multiplexing." Journal of Lightwave Technology. Vol. 11, No. 1, January 1993; 116-127.

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/David S. Kim/

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11/29/2006

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